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368: 18608-P001C1

PLEASE DELIVER TO:	PHONE NUMBER:	FAX NUMBER:
Examiner Geoffrey Akers	(703)306-5844	(703) 308-9051 or (703) 308-9052

 MESSAGE: Enclosed please find an Amendment and Amendment Transmittal letter.

FROM: Barry S. Newberger, Esq. PHONE NO: (512) 370-2808DATE: April 27, 2001 TIME IN: 11:40 PMNUMBER OF PAGES: 16 INCLUDING COVER SHEET.

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Serena Beller SENDER at  
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Mexico City

18608-P001C1

PATENT

- 1 -

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**OFFICIAL**

In re Application: Jan Stallaert et al.

Serial No.: 09/067,640

Filed: April 27, 1998

Art Unit: 2164

Examiner: Geoffrey Akers

For: APPARATUS FOR TRADING OF BUNDLED ASSETS INCLUDING  
BUNDLE SUBSTITUTION AND METHOD THEREFOR**AMENDMENT TRANSMITTAL LETTER**Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Applicants transmit herewith an Amendment for the above-identified application.

The fee has been calculated as shown below:

**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on April 27, 2001.

  
SignatureSerena Beller  
(Printed name of person certifying)

18608-P001C1

**OFFICIAL****PATENT**
**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	SMALL ENTITY RATE	ADDITIONAL FEE
Total Claims:	47	-	40	0	x \$9 = \$ - 0 -
Independent Claims:	4	-	4	0	x \$40 = \$ - 0 -
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT				=	\$ - 0 -

No additional fee is required.  
 A check is enclosed for \$ 0.

If the fee has been calculated incorrectly, the Assistant Commissioner is hereby authorized to charge any fee necessary for additional claims to Deposit Account No. 23-2426 (18608-P001C1) In the name of Winstead Sechrest & Minick P.C. A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicants

By: Barry S. Newberger  
 Barry S. Newberger  
 Reg. No. 41,527

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EXPEDITED PROCEDURE

PATENT

*Andrew  
W#6/a  
A.E.  
D.Cotton  
4-27-01*

- 1 -

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OK  
4/6  
CWT  
In re Application of:  
Jan Stallaert et al.Before the Examiner:  
Geoffrey Akers

Serial No.: 09/067,640

Group Art Unit: 2164

Filed: April 27, 1998

Title: APPARATUS FOR TRADING OF BUNDLED ASSETS INCLUDING  
BUNDLE SUBSTITUTION AND METHOD THEREFORAMENDMENTAssistant Commissioner for Patents  
Washington, D. C. 20231

Dear Sir:

Per a teleconference on April 11, 2001 between Applicants' attorney and the Examiner please amend the above referenced Application as indicated hereinbelow. (A clean copy of the amended claims are also attached herewith as an Appendix.) The amendments filed herewith are not narrowing amendments made for the purpose of patentability but are made to expedite the prosecution of the Application. Any claim cancellations necessitated by the following amendments are made without disclaimer or prejudice.

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CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being faxed to Examiner Geoffrey Akers at (703) 308-9051 (or if busy, alternate (703) 308-9052) to Assistant Commissioner for Patents, Washington, D.C. 20231, on April 27, 2001.

*Serena Beller*  
SignatureSerena Beller*(Printed name of person certifying)*